

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Nobuhiko Hayashi et al.

Serial No.: 09/898,043

Group Art Unit: 2826

Filed: July 5, 2001

Examiner: Johannes P. Mondt

Customer No.: 38834

Confirmation No.: 2566

For:

NITRIDE BASED SEMICONDUCTOR LIGHT EMITTING DEVICE AND NITRIDE BASED SEMICONDUCTOR LASER DEVICE (as Amended)

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97(b)(4)

Commissioner for Patents P.O. Box 1450

Attention:

Group Director

Alexandria, VA 22313-1450

Date: October 14, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This-Information-Disclosure-Statement-is-submitted-after-the-mailing-of-a-final-action, a-Notice of Allowance, or an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee.

XX that each item of information contained in this statement was first cited nication from a foreign patent office in a counterpart foreign patent of this statement was first cited nication from a foreign patent office in a counterpart foreign patent of this statement was first cited nication from a foreign patent of this statement was first cited nication from a foreign patent of this statement was first cited nication from a foreign patent of the statement was first cited nication from a foreign patent communication from a foreign patent office in a counterpart foreign application and more than three months prior to the filing of this statement, or

Ref: 10/17/2003 HVUONG1 Name/Number: 09898043 \$770.00 CR

09/898,043

_ that no item of information contained in this statement was cited in a communication

from a foreign patent office in a counterpart foreign application, and, to the knowledge of the

undersigned after making reasonable inquiry, no information contained in this statement was know

to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this

statement.

The above information is presented so that the Patent and Trademark Office can, in the first

instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a)

concerning the PTO duty to consider and use any such information. It is respectfully requested that

the information be expressly considered during the prosecution of this application, and that the

documents cited in the attached Form PTO-1449 be made of record therein and appear on the first

page of any patent to issue therefrom.

Applicants believe that no fee is due with respect to the Information Disclosure Statement.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment)

associated with this Statement to our Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

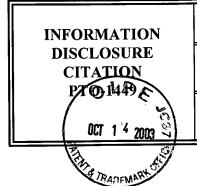
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Reg. No. 43,077

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Enclosures: PTO 1449



Atty. Docket N	lo. 010849
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Serial No. 09/898,043

Applicant(s): Nobuhiko HAYASHI et al.

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U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA	6,252,894	Sasanuma et al.	6/26/01			
	AB						
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)
AF	11-251685	9/17/99	Japan	Yes-Abstract
AG				TECH
AH			, for	RECEIV OCT 21 2
AI				ECE CT 21
AJ				
				ER 2

OTHER DOCUMENTS

AK Jpn. J. Appl. Phys., Vol. 38, Part 2, No. 2B (1999), PP.L184-L186

AL The Notice of Reasons for Refusal in the counterpart Japanese Application (JP2001-204810) and its translation thereof.

Examiner Date Considered